



Docket No. END-5123

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Paul G. Ritchie et al.

Serial No. : 10/723,799

Art Unit:

Filed : November 26, 2003

Examiner:

For : MEDICAL TREATMENT SYSTEM WITH ENERGY DELIVERY  
DEVICE FOR LIMITING RE-USE

I hereby certify that this correspondence is being deposited with the  
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to: Commissioner For Patents, PO Box 1450, Alexandria, VA 22313-1450 on

December 19 2003  
(Date of Deposit)

Linda F. Hansen  
(Name of applicant, person signing, assignee, or Registered Representative)

*Linda F. Hansen*  
(Signature)

December 19 2003

(Date of Signature)

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This copy is supplemental to the Information Disclosure  
Statement mailed on November 26, 2003.

Pursuant to 37 C.F.R. §1.56 and in accordance with 37  
C.F.R. §§1.97-1.98, information relating to the above-  
identified application is hereby disclosed. Inclusion of  
information in this statement is not to be construed as an  
admission that this information is material as that term is  
defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the  
patentability of the claimed invention over any of the  
information provided herewith, and/or to prove that this

information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).

☒ Copies of each of the non-US patent references listed on the attached Form PTO-1449 are enclosed herewith.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

☒ There are no listed references which are not in the English language.

☐ The relevance of those listed references which are not in the English language is as follows:


☐ Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

Copies of the references listed on the search report(s) are included except for those previously cited in an IDS mailed .

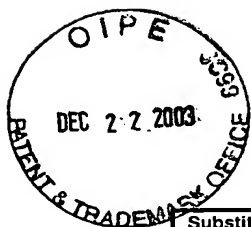
☐ Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/END 5123/GSG.

Respectfully submitted,

  
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34,3432  
Attorney for Applicants

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New Brunswick, NJ 08933-7003  
(513) 337-3535  
DATED: December 19 2003



PTO/SB/08A (08-00)  
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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

|                        |                     |
|------------------------|---------------------|
| Application Number     |                     |
| Filing Date            | November 26, 2003   |
| First Named Inventor   | Paul Ritchie et al. |
| Group Art Unit         | Not assigned        |
| Examiner Name          | Not assigned        |
| Attorney Docket Number | END-5123            |

### U.S. PATENT DOCUMENTS

| Examiner<br>Initials | Cite<br>No.<br>1 | U.S. Patent Document |                                      | Name of Patentee or Applicant<br>of Cited Document | Date of Publication<br>of Cited Document<br>mm-dd-yyyy | Pages, Columns, Lines,<br>where relevant passages<br>or relevant figures appear |
|----------------------|------------------|----------------------|--------------------------------------|--|--|---|
|                      |                  | Number               | Kind Code <sup>2</sup><br>(if known) |  |  |   |
|                      |                  | 4,822,997            |                                      | Fuller et al.                                      | 04-18-1989   | ALL   |
|                      |                  | 5,196,005            |                                      | Doiron et al.                                      | 03-23-1993   | ALL   |
|                      |                  | 5,267,995            |                                      | Doiron et al.                                      | 12-07-1993   | ALL   |
|                      |                  | 5,269,777            |                                      | Doiron et al.                                      | 12-14-1993   | ALL   |
|                      |                  | 5,303,324            |                                      | Lundahl  | 04-12-1994   | ALL   |
|                      |                  | 5,337,381            |                                      | Biswas et al.                                      | 08-09-1994   | ALL   |
|                      |                  | 5,363,458            |                                      | Pan et al.   | 11-08-1994   | ALL   |
|                      |                  | 5,383,874            |                                      | Jackson et al.                                     | 01-24-1995   | ALL   |
|                      |                  | 5,400,267            |                                      | Denen et al.                                       | 03-21-1995   | ALL   |
|                      |                  | 5,487,386            |                                      | Wakabayashi et al.                                 | 01-30-1996   | ALL   |
|                      |                  | 5,651,780            |                                      | Jackson et al.                                     | 07-29-1997   | ALL   |
|                      |                  | 5,749,871            |                                      | Hood et al.  | 05-12-1998   | ALL   |
|                      |                  | 5,978,541            |                                      | Doiron et al.                                      | 11-02-1999   | ALL   |
|                      |                  | 5,991,355            |                                      | Dahlke   | 11-23-1999   | ALL   |
|                      |                  |                      |                                      |  |  | ALL   |

### FOREIGN PATENT DOCUMENTS

| Examiner<br>Initials | Cite<br>No.<br>1 | Foreign Patent Document |                     |                       | Name of Patentee or<br>Applicant of Cited Document | Date of Publication<br>of Cited Document<br>mm-dd-yyyy | Pages, Columns, Lines,<br>where relevant<br>passages or relevant<br>figures appear | T <sup>6</sup> |
|----------------------|------------------|-------------------------|---------------------|-----------------------|--|--|--|----------------|
|                      |                  | Office <sup>3</sup>     | Number <sup>4</sup> | KindCode <sup>5</sup> |  |  |  |                |
|                      |                  | EP                      | 0 951 921           | A3                    | Ritchie et al.                                     | 03-05-2000   | ALL  |                |
|                      |                  | WO                      | 02/35264            | A1                    | Swayze et al.                                      | 05-02-2002   | ALL  |                |

|                       |                    |
|-----------------------|--------------------|
| Examiner<br>Signature | Date<br>Considered |
|-----------------------|--------------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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|----------------------|------------------|----------------------|--------------------------------------|--|--|---|
|                      |                  | Number               | Kind Code <sup>2</sup><br>(if known) |  |  |   |
|                      |                  | 6,237,604            | B1                                   | Burnside et al.                                    | 05-29-2001   | ALL   |
|                      |                  | 6,361,530            | B1                                   | Mersch   | 03-26-2002   | ALL   |
|                      |                  | 6,387,092            | B1                                   | Burnside et al.                                    | 05-14-2002   | ALL   |
|                      |                  | US 2002/0120262      | A1                                   | Bek et al.   | 03-26-2002   | ALL   |
|                      |                  | 6,464,689            | B1                                   | Qin et al.   | 10-15-2002   | ALL   |
|                      |                  | US 2002/0198519      | A1                                   | Qin et al.   | 12-26-2002   | ALL   |
|                      |                  | US 2003/0118302      | A1                                   | James, IV et al.                                   | 06-26-2003   | ALL   |
|                      |                  |                      |                                      |  |  | ALL   |
|                      |                  |                      |                                      |  |  | ALL   |
|                      |                  |                      |                                      |  |  | ALL   |
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|                      |                  |                      |                                      |  |  | ALL   |
|                      |                  |                      |                                      |  |  | ALL   |
|                      |                  |                      |                                      |  |  | ALL   |

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|----------------------|------------------|-------------------------|---------------------|-----------------------|--|--|--|----------------|
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|                      |                  |                         |                     |                       |  |  | ALL  |                |
|                      |                  |                         |                     |                       |  |  | ALL  |                |

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| Examiner<br>Signature |  | Date<br>Considered |  |
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